



Attorney Docket No.: PATENT JANJA-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Theodore L. Griggs

Serial No.: 09/909,676

Filed: July 19, 2001

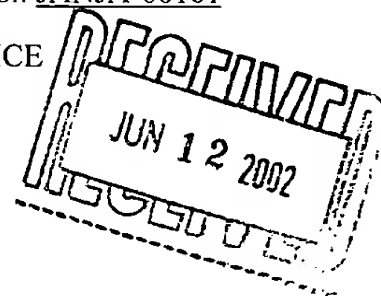
For: **MECHANISM FOR  
DISTRIBUTING CONTENT DATA**

) Group Art Unit: 2182

) Examiner:

) **TRANSMITTAL LETTER**

) 162 North Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700



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JUN 10 2002

Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

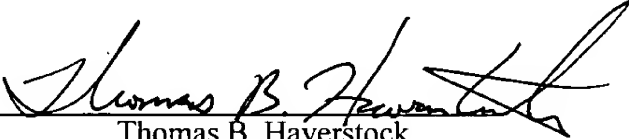
Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 5-28-02

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a)).

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

HAVERSTOCK & OWENS LLP.

Date: 5-29-02 By: Naranjo



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) Examiner:

) **CERTIFICATION FOR**  
) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 162 North Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached three (3) references contained in this supplemental information disclosure statement were cited within an International Search Report (ISR) mailed on March 1, 2002. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**

- 1 -

CERTIFICATE OF MAILING (37 CFR § 1.8(a)),

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HAVERSTOCK & OWENS LLP.

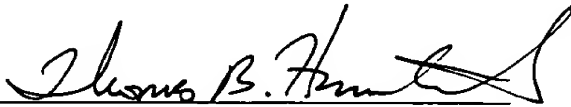
Date: 5-29-02 By: N. Krauskopf

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-28-02

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant



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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 6,029,045;
- PCT Publication No. WO 98/26584; and
- PCT Publication No. WO 00/11869.

- 1 -

CERTIFICATE OF MAILING (37 CFR § 1.8(a),

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 5-29-02 By: Thurman

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-28-02

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: JANJA-00101

Serial No.: 09/909,676

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets if Necessary)

Applicant: Theodore L. Griggs

(37 CFR § 1.98(b))

Filing Date: July 19, 2001

Group Art Unit: 2182

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	6,029,045	02/22/00	Picco et al.	455	5.1	12/09/97
	AB						
	AC						
	AD						
	AE						
	AF						

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## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

Technology Center 2100

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation
							Yes
	AG	WO 98/26584	06/18/98	PCT	H04N	5/445	
	AH	WO 00/11869	03/02/00	PCT	H04N	7/16	
	AI						
	AJ						
	AK						

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2182



PATENT  
Attorney Docket No.: JANJA-00101

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Examiner:

**TRANSMITTAL LETTER**

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Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-28-02

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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- 1 -

HAVERSTOCK & OWENS LLP.

Date: 5-29-02 By: Florausk



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Technology Center 2100

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### **Certification**

I hereby certify that the attached five (5) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on April 25, 2002. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).**





PATENT  
Attorney Docket No.: JANJA-00101

### Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-28-02

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

### CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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- 2 -

HAVERSTOCK & OWENS LLP

Date: 5-29-02 By: [Signature]



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The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,798,785;
- European Publication No. EP 0 924 927 A2;
- PCT Publication No. WO 00/08855;
- PCT Publication No. WO 00/30350; and
- PCT Publication No. WO 00/52928.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 5-28-02

By: Thomas B. Haverstock  
Thomas B. Haverstock  
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Attorneys for Applicant

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HAVERSTOCK & OWENS LLP

Date: 5-29-02 By: Barbara

FORM PTO-1449 (Modified)		<b>JUN 10 2002</b> <b>PATENT &amp; TRADEMARK OFFICE</b>		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: JANJA-00101		Serial No.: 09/909,676	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (37 CFR § 1.98(b))						Applicant: Theodore L. Griggs			
						Filing Date: July 19, 2001		Group Art Unit: 2182	

U.S. PATENT DOCUMENTS							
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	5,798,785	08/25/98	Hendricks et al.	348	1	12/02/93
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK	EP 0 924 927 A2	12/11/98	EP	H04N	7/00		X
	AL	WO 00/08855	02/17/00	PCT	H04N	7/16		X
	AM	WO 00/30350	05/25/00	PCT	H04N	5/445		X
	AN	WO 00/52928	09/08/00	PCT	H04N	5/445		X
	AO							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)		
	AP	
	AQ	
	AR	
	AS	
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner:	Date Considered:
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EXAMINER:      Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.